

# Harvard CS 121 and CSCI E-207

## Lecture 15: Undecidability

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- Reading: Sipser §4.2, §5.1.

## Motivation

- Goal: to find an explicit undecidable language
- By the Church–Turing thesis, such a language has a membership problem that cannot be solved by any kind of algorithm
- We know such languages exist, by a counting argument.
  - Every decidable language is decided by a TM
  - There are only countably many TMs
  - There are uncountably many languages
- ∴ Most languages are not decidable (or even Turing-recognizable)

## Is every Turing-recognizable set decidable?

This would be true if there were an algorithm to solve

### The Acceptance Problem:

Given a TM  $M$  and an input  $w$ , does  $M$  accept input  $w$ ?

Formally,  $A_{\text{TM}} = \{\langle M, w \rangle : M \text{ accepts } w\}$ .

**Proposition:** If  $A_{\text{TM}}$  is decidable, then every Turing-recognizable language is decidable.

“ $A_{\text{TM}}$  is the hardest Turing-recognizable language.”

## A simplifying detail: every string represents some TM

- Let  $\Sigma$  be the alphabet over which TMs are represented (that is,  $\langle M \rangle \in \Sigma^*$  for any TM  $M$ )
- Let  $w \in \Sigma^*$
- if  $w = \langle M \rangle$  for some TM  $M$  then  $w$  represents  $M$
- Otherwise  $w$  represents some fixed TM  $M_0$  (say the simplest possible TM).

## Thm: $A_{TM}$ is not decidable

- Look at  $A_{TM}$  as a table answering every question:

	$w_0$	$w_1$	$w_2$	$w_3$	
$M_0$	$Y$	$N$	$N$	$Y$	
$M_1$	$Y$	$Y$	$N$	$N$	(WLOG assume
$M_2$	$N$	$N$	$N$	$N$	every string $w_i$
$M_3$	$Y$	$Y$	$Y$	$Y$	encodes a TM $M_i$ )

- Entry matching  $(M_i, w_j)$  is  $Y$  iff  $M_i$  accepts  $w_j$
- If  $A_{TM}$  were decidable, then so would be the diagonal  $D$  and its complement.
  - $D = \{w_i : M_i \text{ accepts } w_i\}$ .
  - $\bar{D} = \{w_i : M_i \text{ does not accept } w_i\}$ .
- But  $\bar{D}$  differs from every row, i.e. it differs from every Turing-recognizable language.  $\Rightarrow \Leftarrow$ .

## Unfolding the Diagonalization

- Suppose for contradiction that  $A_{\text{TM}}$  were decidable.
- Then there is a TM  $M^*$  that decides  $\overline{D} = \{\langle M \rangle : M \text{ does not accept } \langle M \rangle\}$ .
  - $M^*(\langle N \rangle)$  runs the decider for  $A_{\text{TM}}$  on  $\langle N, \langle N \rangle \rangle$  and does the opposite.
- Run  $M^*$  on its own description  $\langle M^* \rangle$ .
- Does it accept?
  - $M^*$  accepts  $\langle M^* \rangle$
  - $\Leftrightarrow \langle M^* \rangle \in \overline{D}$
  - $\Leftrightarrow M^*$  does not accept  $\langle M^* \rangle$ .
- Contradiction!



Alan Mathison Turing (1912-1954)

24 Years Old when he published *On computable numbers . . .*

## Some More Undecidable Problems About TMs

- The Halting Problem: Given  $M$  and  $w$ , does  $M$  halt on input  $w$ ?

Proof:

Suppose  $\text{HALT}_{\text{TM}} = \{\langle M, w \rangle : M \text{ halts on } w\}$  were decided by some TM  $H$ .

Then we could use  $H$  to decide  $A_{\text{TM}}$  as follows.

On input  $\langle M, w \rangle$ ,

- Modify  $M$  so that whenever it is about to go into  $q_{\text{reject}}$ , it instead goes into an infinite loop. Call the resulting TM  $M'$ .
- Run  $H(\langle M', w \rangle)$  and do the same.

Note that  $M'$  halts on  $w$  iff  $M$  accepts  $w$ , so this is indeed a decider for  $A_{\text{TM}}$ .  $\Rightarrow \Leftarrow$ .

## Undecidable Problems, Continued

- For a certain fixed  $M_0$ :

Given  $w$ , does  $M_0$  halt on input  $w$ ?

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What about:

- For a fixed  $M_0$  *and* a fixed  $w_0$ , does  $M_0$  halt on input  $w_0$ ?

## Further Undecidable Problems

- Given  $M$ , does  $M$  halt on the empty string?

Proof by reduction:

Suppose  $M_1$  decided  $\{ \langle M \rangle : M \text{ halts on } \varepsilon \}$ .

Then  $M_1$  could be used to decide  $\text{HALT}_{\text{TM}}$ :

Given  $\langle M, w \rangle$ ,

Construct  $\langle M_w \rangle$ , where  $M_w$  is a TM that writes

$w$  on the empty tape and then runs  $M$ .

Then run  $M_1$  on input  $\langle M_w \rangle$

$M_1$  halts on  $\langle M_w \rangle \Leftrightarrow M_w$  halts on  $\varepsilon \Leftrightarrow M$  halts on  $w$

But  $\text{HALT}_{\text{TM}}$  is undecidable.  $\Rightarrow \Leftarrow$

## “Co-X”

- For any property  $X$  that a set might have, a set  $S$  is **co-X** iff  $\overline{S}$  has property  $X$ .
- For example, a co-finite set of natural numbers is a set that is missing only a finite number of elements.
- A co-regular language is ... ?
- A co-recursive language is ... ?
- What about a co-CF language?
- Proved last time:
  - A language is recursive if and only if it is both r.e. and co-r.e.

## Non-r.e. Languages

**Theorem:** The following languages are not r.e.:

- $\overline{A_{TM}} = \{\langle M, w \rangle : M \text{ does not accept } w\}$
- $\overline{HALT_{TM}} = \{\langle M, w \rangle : M \text{ does not halt on } w\}$
- $\overline{HALT_{TM}^\varepsilon} = \{\langle M \rangle : M \text{ does not halt on } \varepsilon\}$

**Proof:** If these languages were r.e., then  $A_{TM}$ ,  $HALT_{TM}$ , and  $HALT_{TM}^\varepsilon$  would be both r.e. and co-r.e. and hence recursive.

**Is it possible to determine, given a TM  $M$ , whether  $M$  accepts a finite or infinite set?**

- Let  $A_{\text{finite}} = \{\langle M \rangle : L(M) \text{ is finite}\}$ . Is  $A_{\text{finite}}$  recursive?

## Is it possible to determine, given a TM $M$ , whether $M$ accepts a finite or infinite set?

- Let  $A_{\text{finite}} = \{\langle M \rangle : L(M) \text{ is finite}\}$ . Is  $A_{\text{finite}}$  recursive?
- Suppose  $M_2$  decides  $A_{\text{finite}}$ . To decide  $A_{\text{TM}}$ , given  $\langle M \rangle$  and  $\langle w \rangle$ , construct  $\langle M_w^* \rangle$  so that
  - If  $M$  accepts  $w$  then  $M_w^*$  accepts its input, regardless of what it is, and
  - If  $M$  does not accept  $w$  then  $M_w^*$  runs forever.
- Then run  $M_2$  on input  $\langle M_w^* \rangle$ .
- $L(M_w^*)$  is either  $\Sigma^*$  (and therefore infinite) or  $\emptyset$  (and therefore finite) depending on whether or not  $M$  accepts  $w$ .