Harvard School of Engineering and Applied Sciences — CS 152: Programming Languages References and continuations; Simply-typed lambda calculus; Type soundness (Lectures 10-11) Section and Practice Problems

Feb 27-Mar 2, 2018

1 References

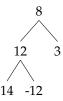
Answer:

(a) Evaluate the following program. (That is, show the sequence of configurations that the small-step evaluation of the program will take. The initial store should by \emptyset , i.e., the partial function with an empty domain.)

let $a = \operatorname{ref} 17$ in let $b = \operatorname{ref} !a$ in !b + (b := 8)

$\langle \textit{let } a = \textit{ref } 17 \textit{ in } \textit{let } b = \textit{ref } !a \textit{ in } !b + (b := 8), \emptyset \rangle \longrightarrow \langle \textit{let } b = \textit{ref } !a \textit{ in } !b + (b := 8), \{(a, 17)\} \rangle \\ \longrightarrow \langle \textit{let } b = \textit{ref } 17 \textit{ in } !b + (b := 8), \{(a, 17)\} \rangle \\ \longrightarrow \langle !b + (b := 8), \{(a, 17), (b, 17)\} \rangle \\ \longrightarrow \langle 17 + (b := 8), \{(a, 17), (b, 17)\} \rangle \\ \longrightarrow \langle 17 + 8, \{(a, 17), (b, 8)\} \rangle \\ \longrightarrow \langle 25, \{(a, 17), (b, 8)\} \rangle$

(b) Construct a program that represents the following binary tree, where an interior node of the binary tree is represented by a value of the form (v, (l_{left}, l_{right})), where v is the value of the node, l_{left} is a location that contains the left child, and l_{right} is a location that contains the right child.



(It may be useful to define a function that creates internal nodes. Feel free to use let expressions to make your program easier to read and write.)

Answer: Following the hint, we define a function that creates internal nodes:

let makeNode = $\lambda r. \lambda t_l. \lambda t_r. (r, (ref t_l, ref t_r))$ in \cdots

and now the binary tree above can be constructed by filling in the \cdots with:

let $t' = makeNode \ 12 \ 14 \ (-12)$ in makeNode $8 \ t' \ 3$

2 Continuations

(a) Suppose we add let expressions to our CBV lambda-calculus. How would you define $CPS[[et x = e_1 in e_2]]$? (Note, even though let $x = e_1 in e_2$ is equivalent to $(\lambda x. e_2) e_1$, don't use $CPS[[(\lambda x. e_2) e_1]]$, as there is a better CPS translation of let $x = e_1$ in e_2 . Why is that?)

Answer:

$$\mathcal{CPS}\llbracket \mathsf{let} x = e_1 \text{ in } e_2 \rrbracket k = \mathcal{CPS}\llbracket e_1 \rrbracket (\lambda x. \mathcal{CPS}\llbracket e_2 \rrbracket k)$$

(b) Translate the expression let $f = \lambda x. x + 1$ in $(f \ 19) + (f \ 21)$ into continuation-passing style. That is, what is $CPS[[let f = \lambda x. x + 1 in (f \ 19) + (f \ 21)]]$?

(Use your definition of CPS [let $x = e_1$ in e_2] from above.)

Answer: Apologies for the small font. You can use the zoom feature in your PDF for better clarity.
$\mathcal{CPS}[[let f = \lambda x. x + 1 in (f 19) + (f 21)]]k$
$= \mathcal{CPS}\llbracket\lambda x. x + 1\rrbracket (\lambda f. \mathcal{CPS}\llbracket(f \ 19) + (f \ 21)\rrbracket k)$
$= \mathcal{CPS}\llbracket\lambda x. x + 1\rrbracket (\lambda f. \mathcal{CPS}\llbracket(f \ 19) + (f \ 21)\rrbracket k)$
$= (\lambda f. \mathcal{CPS}\llbracket(f \ 19) + (f \ 21)\rrbracket k) \ (\lambda x, k'. \mathcal{CPS}\llbracket x + 1\rrbracket k')$
$= \left(\lambda f. \mathcal{CPS}\llbracket(f 19)\rrbracket\left(\lambda v. \mathcal{CPS}\llbracket(f 21)\rrbracket\left(\lambda w. k (v+w)\right)\right)\right)\left(\lambda x, k'. \mathcal{CPS}\llbracket x+1\rrbracket k'\right)$
$= \left(\lambda f. \mathcal{CPS}\llbracket(f \ 19)\rrbracket\left(\lambda v. \mathcal{CPS}\llbracket(f \ 21)\rrbracket\left(\lambda w. k \ (v+w)\right)\right)\right) \left(\lambda x, k'. \mathcal{CPS}\llbracket x\rrbracket\left(\lambda v. \mathcal{CPS}\llbracket 1\rrbracket\left(\lambda w. k'(v+w)\right)\right)\right)$
$= \left(\lambda f. \mathcal{CPS}\llbracket(f \ 19)\rrbracket\left(\lambda v. \mathcal{CPS}\llbracket(f \ 21)\rrbracket\left(\lambda w. k \ (v+w)\right)\right)\right)\left(\lambda x, k'. \left(\lambda v. \left(\lambda w. k'(v+w)\right) 1\right) x\right)$
$= (\lambda f. \mathcal{CPS}\llbracket f \rrbracket (\lambda f'. \mathcal{CPS}\llbracket 19 \rrbracket (\lambda v. f' v (\lambda v. \mathcal{CPS}\llbracket (f \ 21) \rrbracket (\lambda w. k (v + w)))))) (\lambda x, k'. (\lambda v. (\lambda w. k'(v + w)) 1) x)$
$= (\lambda f. (\lambda f'. (\lambda v. f' v (\lambda v'. \mathcal{CPS}\llbracket(f \ 21)\rrbracket (\lambda w'. k (v' + w')))) \ 19) \ f) \ (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) \ 1) \ x)$
$= (\lambda f. (\lambda f'. (\lambda v. f' v (\lambda v'. \lambda f''. \lambda v''. f'' v'' (\lambda w'. k (v' + w')) 21 f')) 19) f) (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x)$
$\longrightarrow (\lambda f'. (\lambda v. f' v (\lambda v'. \lambda f''. \lambda v''. f'' v'' (\lambda w'. k (v' + w')) 21 f')) 19) (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x)$
$\longrightarrow (\lambda v. (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x) v (\lambda v'. \lambda f''. \lambda v''. f'' v'' (\lambda w'. k (v' + w')) 21 (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x))) 19$
$ \rightarrow (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x) 19 (\lambda v'. \lambda f''. \lambda v''. f'' v'' (\lambda w'. k (v' + w')) 21 (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x)) $
$\longrightarrow (\lambda v. (\lambda w. (\lambda v'. \lambda f''. \lambda v''. f'' v'' (\lambda w'. k (v' + w')) 21 (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x)) (v + w)) 1) 19$
$\longrightarrow (\lambda w. (\lambda v'. \lambda f''. \lambda v''. f'' v'' (\lambda w'. k (v' + w')) 21 (\lambda x, k'. (\lambda v. (\lambda w. k' (v + w)) 1) x)) (19 + w)) 1$
$\longrightarrow (\lambda v' \cdot \lambda f'' \cdot \lambda v'' \cdot f'' v'' (\lambda w' \cdot k (v' + w')) 21 (\lambda x, k' \cdot (\lambda v \cdot (\lambda w, k' (v + w)) 1) x)) (19 + 1)$
$\longrightarrow (\lambda v' \cdot \lambda f'' \cdot \lambda v'' \cdot f'' v'' (\lambda w' \cdot k (v' + w')) 21 (\lambda x, k' \cdot (\lambda v \cdot (\lambda w \cdot k' (v + w)) 1) x)) 20$
$\longrightarrow (\lambda f'' \cdot \lambda v'' \cdot f'' v'' (\lambda w' \cdot k (20 + w')) 21 (\lambda x, k' \cdot (\lambda v \cdot (\lambda w \cdot k' (v + w)) 1) x)$
$\longrightarrow \lambda v''. (\lambda x, k'. (\lambda v. (\lambda w. k' (v+w)) 1) x) v'' (\lambda w'. k (20+w')) 21$
$ \rightarrow (\lambda x, k', (\lambda v, (\lambda w, k' (v + w)) 1) x) 21 (\lambda w', k (20 + w')) $
$ \rightarrow (\lambda v. (\lambda w. (\lambda w'. k (20 + w'))) (v + w)) 1) 21 $
$ \rightarrow (\lambda w. (\lambda w'. k (20 + w')) (21 + w)) 1 $
$ \rightarrow (\lambda w' \cdot k (20 + w')) (21 + 1) $
$ \rightarrow (\lambda w' \cdot k (20 + w')) (21 + 1) $
$ \xrightarrow{\longrightarrow} (\lambda w \cdot k (20 + w)) 22 $ $ \xrightarrow{\longrightarrow} k (20 + 22) $
$\rightarrow k 42$

3 Simply-typed lambda calculus

(a) Add appropriate type annotations to the following expressions, and state the type of the expression.

(i) $\lambda a. a + 4$

Answer: *With minimal annotations:*

 λa : *int*. a + 4

and the expression has type $int \rightarrow int$.

(ii) $\lambda f. 3 + f()$

Answer: *With minimal annotations:*

 λf : unit \rightarrow int. 3 + f()

and the expression has type ($unit \rightarrow int$) $\rightarrow int$.

(iii) $(\lambda x. x) (\lambda f. f (f 42))$

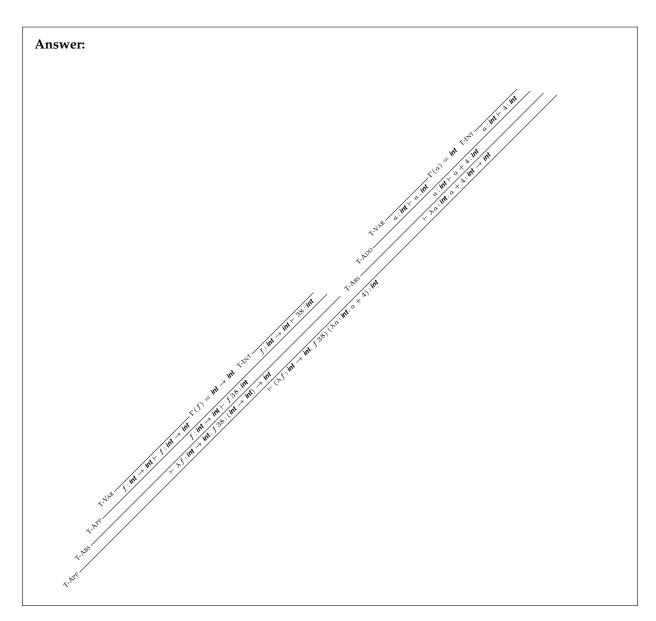
Answer: *With minimal annotations:*

 $(\lambda x: (int \rightarrow int) \rightarrow int. x) (\lambda f: int \rightarrow int. f (f 42)).$

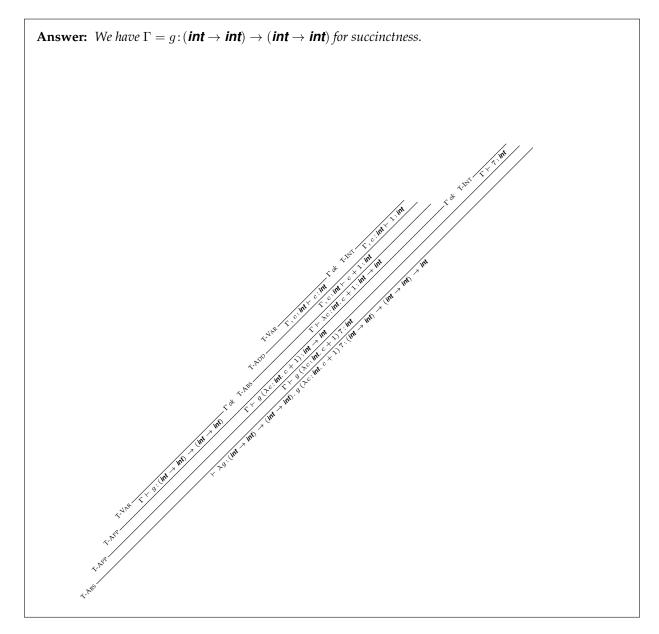
Note that $\lambda x : (int \rightarrow int) \rightarrow int$. *x* has type $((int \rightarrow int) \rightarrow int) \rightarrow ((int \rightarrow int) \rightarrow int)$. The expression $\lambda f : int \rightarrow int$. f (f 42) has type $(int \rightarrow int) \rightarrow int$. The whole expression has type $(int \rightarrow int) \rightarrow int$.

(b) For each of the following expressions, give a derivation showing that the expression is well typed.

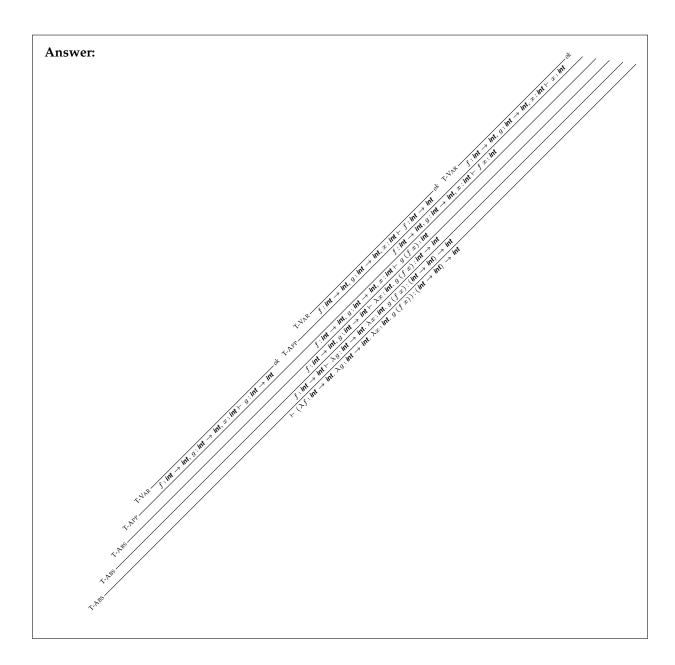
(i) $(\lambda f: int \rightarrow int. f \ 38) \ (\lambda a: int. a + 4)$



(ii) $\lambda g: (\text{int} \rightarrow \text{int}) \rightarrow (\text{int} \rightarrow \text{int}). g (\lambda c: \text{int}. c+1) 7$



(iii) $\lambda f : int \to int. \lambda g : int \to int. \lambda x : int. g (f x)$



4 Type soundness

(a) Recall the substitution lemma that we used in the proof of type soundness.

Lemma (Substitution). If $x: \tau' \vdash e: \tau$ and $\vdash v: \tau'$ then $\vdash e\{v/x\}: \tau$.

Using the definition of substitution given in Assignment 2, prove this lemma. You may assume that v does not have any free variables (i.e., $FV(v) = \emptyset$).

Remember to state what set you are performing induction on and what the property is that you are proving for every element in that set. If you are not sure what cases you need to consider, or what you are able to assume in each case of the inductive proof, we strongly suggest that you write down the inductive reasoning principle for the inductively defined set.

Answer: We recall the definition of substitution, since we will use it in this proof.

$$y\{e/x\} = \begin{cases} e & \text{if } x = y \\ y & \text{if } x \neq y \end{cases}$$
$$(e_1 \ e_2)\{e/x\} = e_1\{e/x\} \ e_2\{e/x\}$$
$$(\lambda y. \ e')\{e/x\} = \begin{cases} \lambda y. \ e' & \text{if } x = y \\ \lambda y. \ (e'\{e/x\}) & \text{if } x \neq y \text{ and } y \notin FV(e) \\ \lambda z. \ ((e'\{z/y\})\{e/x\}) & \text{if } x \neq y \text{ and } y \in FV(e), \text{ where} \\ z \notin FV(e) \cup FV(e') \cup \{x\} \end{cases}$$

We extend substitution for the new syntactic forms in our language.

$$n\{e/x\} = n$$

(){e/x} = ()
$$e_1 + e_2\{e/x\} = (e_1\{e/x\}) + (e_2\{e/x\})$$

We proceed by structural induction on expressions. That is, we will perform induction on the set of expressions. As an aside, the inductive reasoning principle for the set of expressions for this language is the following:

For any property P, If

- P(n) holds
- P(()) holds
- P(x) holds
- For all expressions e, if P(e) holds then $P(\lambda x : \tau. e)$ holds
- For all expressions e_1 and e_2 , if $P(e_1)$ and $P(e_2)$ holds then $P(e_1 e_2)$ holds
- For all expressions e_1 and e_2 , if $P(e_1)$ and $P(e_2)$ holds then $P(e_1 + e_2)$ holds

then

for all expressions e, P(e) holds.

The property we will prove is actually stronger than the lemma. We will need this stronger property in order to deal with the case for functions. The property is:

 $P(e) = \forall \Gamma, x, \tau, v, \tau'$. if $\Gamma[x \mapsto \tau'] \vdash e:\tau$ and $\vdash v:\tau'$ then $\Gamma \vdash e\{v/x\}:\tau$

We consider the possible cases (which correspond to the 6 bullet points in the inductive reasoning principle above).

• e = n

Assume that $\Gamma[x \mapsto \tau'] \vdash e : \tau$ and $\vdash v : \tau'$. Since e = n, we have $e\{v/x\} = e$. Thus, $\Gamma \vdash e\{v/x\} : \tau$ holds trivially.

• e = ()

Assume that $\Gamma[x \mapsto \tau'] \vdash e : \tau$ and $\vdash v : \tau'$.

Since e = (), we have $e\{v/x\} = e$. Thus, $\Gamma \vdash e\{v/x\} : \tau$ holds trivially.

• e = y

Assume that $\Gamma[x \mapsto \tau'] \vdash e : \tau$ and $\vdash v : \tau'$.

We consider two subcases, where x and y are the same variable, and where they are different variables.

-x and y are the same variable.

In this case, we have $\tau = \tau'$ (since e = x and $\Gamma[x \mapsto \tau'] \vdash e : \tau$ means that, by inversion using rule T-VAR, $\tau = \tau'$). Also, we have $e\{v/x\} = v$. From $\vdash v : \tau'$ we can derive $\Gamma \vdash v : \tau'$, and so $\Gamma \vdash e\{v/x\} : \tau$ holds.

- x and y are different variables. In this case, we have $e\{v/x\} = e$. Thus, $\Gamma \vdash e\{v/x\} : \tau$ holds trivially.
- $e = \lambda y : \tau_y . e'$

Assume that $\Gamma[x \mapsto \tau'] \vdash e : \tau$ and $\vdash v : \tau'$. Also assume that the property holds for e (i.e., the inductive hypothesis).

We consider three subcases, corresponding to the three possible cases for substitution of $\lambda y: \tau_y. e'$.

- x and y are the same variable.

In this case, we have $e\{v/x\} = e$. Thus, $\Gamma \vdash e\{v/x\} : \tau$ holds trivially.

- x and y are different variables and $y \notin FV(v)$.
- In this case, we have $e\{v/x\} = \lambda y : \tau_y . (e'\{v/x\}).$

By inversion on $\Gamma[x \mapsto \tau'] \vdash e:\tau$, we have $\Gamma[x \mapsto \tau'][y \mapsto \tau_y] \vdash e':\tau''$ for some τ'' where $\tau = \tau_y \to \tau''$. Since x and y are different variables, note that $\Gamma[x \mapsto \tau'][y \mapsto \tau_y]$ is equal to $\Gamma'[x \mapsto \tau']$ where $\Gamma' = \Gamma[y \mapsto \tau_y]$. Because the inductive hypothesis holds for expression e', and $\Gamma'[x \mapsto \tau'] \vdash e':\tau''$, we have $\Gamma' \vdash (e'\{v/x\}):\tau''$.

Using typing rule T-ABS, we have that $\Gamma \vdash \lambda y : \tau_y . (e'\{v/x\}) : \tau_y \to \tau''$. That is, we have $\Gamma \vdash e\{v/x\} : \tau$, as required.

- x and y are different variables and $y \in FV(v)$. This case is actually impossible. Since v is a value, v can not have any free variables.
- $e = e_1 e_2$

Assume that $\Gamma[x \mapsto \tau'] \vdash e : \tau$ and $\vdash v : \tau'$. Also assume that the property holds for e_1 and for e_2 (i.e., the inductive hypothesis).

From $\Gamma[x \mapsto \tau'] \vdash e:\tau$, by inversion, we have that $\Gamma[x \mapsto \tau'] \vdash e_1:\tau'' \to \tau$ and $\Gamma[x \mapsto \tau'] \vdash e_2:\tau''$ for some type τ'' . (That is, rule T-APP is the only typing rule that has a conclusion that matches $\Gamma[x \mapsto \tau'] \vdash e:\tau$, and so it must be the case that the premises of T-APP are true.)

From the inductive hypothesis, we have that $\Gamma[x \mapsto \tau'] \vdash e_1\{v/x\} : \tau'' \to \tau$ and $\Gamma[x \mapsto \tau'] \vdash e_2\{v/x\} : \tau''$.

From the definition of substitution, we have that $e\{v/x\} = (e_1\{v/x\}) (e_2\{v/x\})$.

Thus, using the typing rule T-APP, we have that $\Gamma \vdash e\{v/x\}: \tau$, as required.

• $e = e_1 + e_2$

Assume that $\Gamma[x \mapsto \tau'] \vdash e : \tau$ and $\vdash v : \tau'$. Also assume that the property holds for e_1 and for e_2 (i.e., the inductive hypothesis).

From $\Gamma[x \mapsto \tau'] \vdash e:\tau$, by inversion, we have that $\Gamma[x \mapsto \tau'] \vdash e_1:$ int and $\Gamma[x \mapsto \tau'] \vdash e_2:$ int, and $\tau =$ int. (That is, rule T-ADD is the only typing rule that has a conclusion that matches $\Gamma[x \mapsto \tau'] \vdash e:\tau$, and so it must be the case that the premises of T-ADD are true.)

From the inductive hypothesis, we have that $\Gamma[x \mapsto \tau'] \vdash e_1\{v/x\}$: **int** and $\Gamma[x \mapsto \tau'] \vdash e_2\{v/x\}$: **int**.

From the definition of substitution, we have that $e\{v/x\} = (e_1\{v/x\}) + (e_2\{v/x\})$.

Thus, using the typing rule T-ADD*, we have that* $\Gamma \vdash e\{v/x\}: \tau$ *, as required.*

(b) Recall the context lemma that we used in the proof of type soundness.

Lemma (Context). *If* $\vdash E[e_0]: \tau$ and $\vdash e_0: \tau'$ and $\vdash e_1: \tau'$ then $\vdash E[e_1]: \tau$.

Prove this lemma.

Remember to state what set you are performing induction on and what the property is that you are proving for every element in that set. If you are not sure what cases you need to consider, or what you are able to assume in each case of the inductive proof, we strongly suggest that you write down the inductive reasoning principle for the inductively defined set.

Answer: We proceed by structural induction on contexts *E*. That is, we are doing induction on the set of contexts, which is inductively defined by the grammar:

 $E ::= [\cdot] \mid E \mid v \mid E \mid E + e \mid v + E$

As an aside, the inductive reasoning principle for the set of contexts is the following:

For any property P,

If

- $P([\cdot])$ holds
- For all contexts E, if P(E) holds then P(E e) holds
- For all contexts E, if P(E) holds then P(v E) holds
- For all contexts E, if P(E) holds then P(E + e) holds
- For all contexts E, if P(E) holds then P(v + E) holds

then

for all contexts E, P(E) holds.

So, the property we are proving is:

 $P(E) = \forall e_0, e_1, \tau, \tau'$ if $\vdash E[e_0]: \tau$ and $\vdash e_0: \tau'$ and $\vdash e_1: \tau'$ then $\vdash E[e_1]: \tau$

We consider the possible cases (which correspond to the 5 bullet points in the inductive reasoning principle above).

• $E = [\cdot].$

Assume $\vdash E[e_0]: \tau$ and $\vdash e_0: \tau'$ and $\vdash e_1: \tau'$.

Since $E[e_0] = e_0$, we have $\tau = \tau'$.

Moreover, since $E[e_1] = e_1$ *, from* $\vdash e_1 : \tau'$ *we have* $\vdash E[e_1] : \tau$ *as required.*

• E = E' e.

Assume $\vdash E[e_0]: \tau$ and $\vdash e_0: \tau'$ and $\vdash e_1: \tau'$, and that the property holds for E'.

Since $\vdash E'[e_0] e: \tau$, by inversion (i.e., rule T-APP is the only rule whose conclusion is an application expression), we must have that $\vdash E'[e_0]: \tau'' \to \tau$ for some type τ'' and $\vdash e: \tau''$.

By the inductive hypothesis (i.e., the property holds of E'), we have that $E'[e_1]$ has type $\tau'' \to \tau$. Using the typing rule T-APP, we can conclude that $\vdash E'[e_1] e:\tau$. That is, $\vdash E[e_1]:\tau$ as required.

• E = v E'.

Assume $\vdash E[e_0]: \tau$ and $\vdash e_0: \tau'$ and $\vdash e_1: \tau'$, and that the property holds for E'.

Since $\vdash v E'[e_0]: \tau$, by inversion (i.e., rule T-APP is the only rule whose conclusion is an application expression), we must have that $\vdash E'[e_0]: \tau''$ for some type τ'' , and $\vdash v: \tau'' \to \tau$.

By the inductive hypothesis (i.e., the property holds of E'), we have that $E'[e_1]$ has type τ'' . Using the typing rule T-APP, we can conclude that $\vdash v E'[e_1]: \tau$. That is, $\vdash E[e_1]: \tau$ as required.

 $\bullet \ E=E'+e.$

Assume $\vdash E[e_0]: \tau$ and $\vdash e_0: \tau'$ and $\vdash e_1: \tau'$, and that the property holds for E'.

Since $\vdash E'[e_0] + e : \tau$, by inversion (i.e., rule T-ADD is the only rule whose conclusion is an addition expression), we must have that $\vdash E'[e_0] : int$ and $\vdash e : int$, and that $\tau = int$.

By the inductive hypothesis (i.e., the property holds of E'), we have that $E'[e_1]$ has type **int**. Using the typing rule T-ADD, we can conclude that $\vdash E'[e_1] + e:\tau$. That is, $\vdash E[e_1]:\tau$ as required.

• E = v + E'.

Assume $\vdash E[e_0]: \tau$ and $\vdash e_0: \tau'$ and $\vdash e_1: \tau'$, and that the property holds for E'.

Since $\vdash v + E'[e_0]: \tau$, by inversion (i.e., rule T-ADD is the only rule whose conclusion is an addition expression), we must have that $\vdash E'[e_0]:$ int and $\vdash v:$ int, and that $\tau =$ int.

By the inductive hypothesis (i.e., the property holds of E'), we have that $E'[e_1]$ has type **int**. Using the typing rule T-ADD, we can conclude that $\vdash v + E'[e_1]:\tau$. That is, $\vdash E[e_1]:\tau$ as required.

Since all these cases go though, using the inductive reasoning principle, we can conclude that the property holds for all contexts. That is exactly the lemma we were trying to prove.