IMP: a simple imperative language CS 152 (Spring 2024)

Harvard University

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Today, we learn to

- define operational semantics for a simple imperative language
- prove equivalence between commands
- perform arguments on proof trees
- perform induction over derivation without counterpart over structure

IMP syntax

arithmetic expressions

$$a \in \mathbf{Aexp}$$

boolean expressions

$$b \in \mathbf{Bexp}$$

commands

$$c \in \mathbf{Com}$$

IMP syntax

```
a ::= x | n | a_1 + a_2 | a_1 \times a_2
b ::= true | false | a_1 < a_2
c ::= skip | x := a | c_1; c_2
| if b then c_1 else c_2
| while b do c
```

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```
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```

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 - \triangleright < b, σ >

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 - ightharpoonup < true, σ >, < false, σ >
 - ightharpoonup < skip, σ >

$$\longrightarrow_{\mathsf{Aexp}} \subseteq ?$$

$$\longrightarrow_{\mathsf{Bexp}} \subseteq ?$$

$$\longrightarrow_{\mathsf{Com}} \subseteq ?$$

$$\longrightarrow_{\mathsf{Aexp}} \subseteq \mathsf{Aexp} \times \mathsf{Store} \times \mathsf{Aexp} \times \mathsf{Store}$$

$$\longrightarrow_{\mathsf{Bexp}} \subseteq \mathsf{Bexp} \times \mathsf{Store} \times \mathsf{Bexp} \times \mathsf{Store}$$

$$\longrightarrow_{\mathsf{Com}} \subseteq \mathsf{Com} \times \mathsf{Store} \times \mathsf{Com} \times \mathsf{Store}$$

$$\longrightarrow_{\mathsf{Aexp}} \subseteq (\mathsf{Aexp} \times \mathsf{Store}) \times (\mathsf{Aexp} \times \mathsf{Store})$$

$$\longrightarrow_{\mathsf{Bexp}} \subseteq (\mathsf{Bexp} \times \mathsf{Store}) \times (\mathsf{Bexp} \times \mathsf{Store})$$

$$\longrightarrow_{\mathsf{Com}} \subseteq (\mathsf{Com} \times \mathsf{Store}) \times (\mathsf{Com} \times \mathsf{Store})$$

$$(Aexp \times Store) \longrightarrow_{Aexp} (Aexp \times Store)$$

$$(\mathsf{Bexp} \times \mathsf{Store}) \longrightarrow_{\mathsf{Bexp}} (\mathsf{Bexp} \times \mathsf{Store})$$

$$(\mathsf{Com} \times \mathsf{Store}) \longrightarrow_{\mathsf{Com}} (\mathsf{Com} \times \mathsf{Store})$$

Arithmetic expressions (1/2)

$$(x, \sigma) \longrightarrow (n, \sigma)$$
 where $n = \sigma(x)$

$$\frac{\langle a_1, \sigma \rangle \longrightarrow \langle a'_1, \sigma \rangle}{\langle a_1 + a_2, \sigma \rangle \longrightarrow \langle a'_1 + a_2, \sigma \rangle} \\
\frac{\langle a_2, \sigma \rangle \longrightarrow \langle a'_2, \sigma \rangle}{\langle n + a_2, \sigma \rangle \longrightarrow \langle n + a'_2, \sigma \rangle}$$

 $< n+m, \sigma > \longrightarrow < p, \sigma >$ where p = n+m

Arithmetic expressions (2/2)

$$\frac{ < a_1, \sigma > \longrightarrow < a'_1, \sigma >}{ < a_1 \times a_2, \sigma > \longrightarrow < a'_1 \times a_2, \sigma >}$$

$$< a_2, \sigma > \longrightarrow < a'_2, \sigma >}{ < n \times a_2, \sigma > \longrightarrow < n \times a'_2, \sigma >}$$

$$< n \times m, \sigma > \longrightarrow < p, \sigma >} where $p = n \times m$$$

Boolean expressions

$$\begin{array}{c}
< a_1, \sigma > \longrightarrow < a'_1, \sigma > \\
< a_1 < a_2, \sigma > \longrightarrow < a'_1 < a_2, \sigma > \\
< a_2, \sigma > \longrightarrow < a'_2, \sigma > \\
\hline
< n < a_2, \sigma > \longrightarrow < n < a'_2, \sigma >
\end{array}$$

$$\frac{}{< n < m, \sigma > \longrightarrow < \mathbf{true}, \sigma >} \text{ where } n < m$$

$$\frac{}{< n < m, \sigma > \longrightarrow < \mathbf{false}, \sigma >} \text{ where } n \ge m$$

Commands (1/3)

$$\begin{array}{c}
< a, \sigma > \longrightarrow < a', \sigma > \\
\hline
< x := a, \sigma > \longrightarrow < x := a', \sigma >
\end{array}$$

$$\langle x := n, \sigma \rangle \longrightarrow \langle \mathbf{skip}, \sigma[x \mapsto n] \rangle$$

$$< c_1, \sigma > \longrightarrow < c'_1, \sigma' >$$

 $< c_1; c_2, \sigma > \longrightarrow < c'_1; c_2, \sigma' >$

$$<$$
 skip; $c_2, \sigma > \longrightarrow < c_2, \sigma >$

Commands (2/3)

$$< b, \sigma > \longrightarrow < b', \sigma >$$
 $<$ if b then c_1 else $c_2, \sigma > \longrightarrow$
 $<$ if b' then c_1 else $c_2, \sigma >$

$$<$$
 if true then c_1 else $c_2, \sigma > \longrightarrow < c_1, \sigma >$

< if false then c_1 else $c_2, \sigma > \longrightarrow < c_2, \sigma >$

Commands (3/3)

< while b do $c, \sigma > \longrightarrow$
< if b then (c; while b do c) else skip, $\sigma >$

Small-step execution

```
< foo := 3; while foo < 4 do foo := foo + 5, \sigma >
\longrightarrow < skip; while foo < 4 do foo := foo + 5, \sigma' >
                                                                                    where \sigma' = \sigma[\mathsf{foo} \mapsto 3]
\longrightarrow < while foo < 4 do foo := foo + 5, \sigma' >
\longrightarrow < if foo < 4 then (foo := foo + 5; W) else skip, \sigma' >
\longrightarrow < if 3 < 4 then (foo := foo + 5; W) else skip, \sigma' >
\longrightarrow < if true then (foo := foo + 5; W) else skip, \sigma' >
\rightarrow < foo := foo + 5; while foo < 4 do foo := foo + 5, \sigma' >
\rightarrow < foo := 3 + 5: while foo < 4 do foo := foo + 5. \sigma' >
\rightarrow < foo := 8; while foo < 4 do foo := foo + 5, \sigma' >
\longrightarrow < skip; while foo < 4 do foo := foo + 5, \sigma'' >
                                                                                   where \sigma'' = \sigma'[\mathsf{foo} \mapsto 8]
\longrightarrow < while foo < 4 do foo := foo + 5, \sigma'' >
\longrightarrow < if foo < 4 then (foo := foo + 5; W) else skip, \sigma'' >
\longrightarrow < if 8 < 4 then (foo := foo + 5; W) else skip, \sigma'' >
\longrightarrow < if false then (foo := foo + 5; W) else skip, \sigma'' >
\longrightarrow < skip, \sigma'' >
```

(where W is an abbreviation for the while loop while foo < 4 do foo := foo + 5).

$$\Downarrow_{\textbf{Aexp}} \subseteq ?$$

$$\Downarrow_{\mathsf{Bexp}} \subseteq ?$$

$$\psi_{\mathsf{Com}} \subseteq ?$$

$$\Downarrow_{\mathsf{Aexp}} \subseteq \mathsf{Aexp} \times \mathsf{Store} \times \mathsf{Int}$$

$$\Downarrow_{\mathsf{Bexp}} \subseteq \mathsf{Bexp} \times \mathsf{Store} \times \mathsf{Bool}$$

$$\Downarrow_{\text{Com}} \subseteq \text{Com} \times \text{Store} \times \text{Store}$$

$$\Downarrow_{\mathsf{Aexp}} \subseteq (\mathsf{Aexp} \times \mathsf{Store}) \times \mathsf{Int}$$

$$\Downarrow_{\mathsf{Bexp}} \subseteq (\mathsf{Bexp} \times \mathsf{Store}) \times \mathsf{Bool}$$

$$\Downarrow_{\mathsf{Com}} \subseteq (\mathsf{Com} \times \mathsf{Store}) \times \mathsf{Store}$$

 $(Aexp \times Store) \downarrow_{Aexp} Int$

 $(\mathsf{Bexp} \times \mathsf{Store}) \Downarrow_{\mathsf{Bexp}} \mathsf{Bool}$

 $(Com \times Store) \Downarrow_{Com} Store$

Arithmetic expressions

Boolean expressions

Commands (1/2)

$$SKIP \frac{}{\begin{array}{c} < \textbf{skip}, \sigma > \Downarrow \sigma \\ \\ < a, \sigma > \Downarrow n \\ \hline < x := a, \sigma > \Downarrow \sigma[x \mapsto n] \\ \\ SEQ \frac{< c_1, \sigma > \Downarrow \sigma' \qquad < c_2, \sigma' > \Downarrow \sigma'' \\ < c_1; c_2, \sigma > \Downarrow \sigma'' \end{array}}$$

$$\begin{split} & \text{IF-T} \frac{< b, \sigma > \Downarrow \text{ true }}{< \text{ if } b \text{ then } c_1 \text{ else } c_2, \sigma > \Downarrow \sigma'} \\ & < \text{IF-F} \frac{< b, \sigma > \Downarrow \text{ false }}{< c_1, \sigma > \Downarrow \sigma'} \\ & < c_2, \sigma > \Downarrow \sigma'} \\ & < \text{if } b \text{ then } c_1 \text{ else } c_2, \sigma > \Downarrow \sigma'} \end{split}$$

Commands (2/2)

Command equivalence

The small-step operational semantics suggest that the loop **while** b **do** c should be equivalent to the command **if** b **then** (c; **while** b **do** c) **else skip**. Can we show that this indeed the case when the language is defined using the above large-step evaluation?

Equivalence of commands

Two commands c and c' are equivalent written $c \sim c'$ if, for any stores σ and σ' , we have

$$< c, \sigma > \Downarrow \sigma' \iff < c', \sigma > \Downarrow \sigma'.$$

Theorem

For all $b \in \mathbf{Bexp}$ and $c \in \mathbf{Com}$ we have

while b do c

 \sim

if b then (c); while b do c) else skip

Proof

Let W be an abbreviation for **while** b **do** c. We want to show that for all stores σ , σ' , we have:

$$< W, \sigma > \Downarrow \sigma' \iff < \text{if } b \text{ then } (c; W) \text{ else skip}, \sigma > \Downarrow \sigma'$$

For this, we must show that both directions (\Longrightarrow and \Longleftrightarrow) hold. We'll show only direction \Longrightarrow ; the other is similar.

Assume that σ and σ' are stores such that $< W, \sigma > \Downarrow \sigma'$. It means that there is some derivation that proves for this fact. Inspecting the evaluation rules, we see that there are two possible rules whose conclusions match this fact: While-F and While-T. We analyze each of them in turn.

Case WHILE-F (1/2)

The derivation must look like the following.

WHILE-F
$$\cfrac{\vdots^1}{< b,\sigma> \Downarrow \text{ false}}$$

Here, we use $:^1$ to refer to the derivation of $< b, \sigma > \Downarrow$ **false**. Note that in this case, $\sigma' = \sigma$.

Case WHILE-F (2/2)

We can use $:^1$ to derive a proof tree showing that the evaluation of **if** b **then** (c; W) **else skip** yields the same final state σ :

$$\text{IF-F} \frac{ \overset{:^{1}}{< b, \sigma > \Downarrow \text{ false}} \quad \overset{\text{SKIP}}{-} \frac{}{< \text{skip}, \sigma > \Downarrow \sigma} } \\ < \text{if } b \text{ then } (c; W) \text{ else skip}, \sigma > \Downarrow \sigma }$$

Case WHILE-T (1/2)

In this case, the derivation has the following form.

$$\begin{array}{c} \vdots^2 \\ \hline < b, \sigma > \Downarrow \ \textbf{true} \\ \vdots^3 & \vdots^4 \\ \hline < c, \sigma > \Downarrow \sigma'' & \hline < W, \sigma'' > \Downarrow \sigma' \\ \hline < W, \sigma > \Downarrow \sigma' \end{array}$$

Case WHILE-T (2/2)

We can use subderivations $:^2$, $:^3$, and $:^4$ to show that the evaluation of **if** b **then** (c; W) **else skip** yields the same final state σ .

Break

- ▶ Add ∧ to boolean expressions.
- Contrast the design of While in small-step and large-step. Can one style be used for the other? Can you mix small-step and large-step?
- How do you prove that while true do skip never terminates? In small-step? In large-step?
- Define and sketch proof for large-step determinism of commands.

\land extending grammar

$$b ::= \ldots \mid b_1 \wedge b_2$$

 $t ::=$ true \mid false

∧ extending large-step semantics

$$(b_1, \sigma) \downarrow t_1 \qquad (b_2, \sigma) \downarrow t_2$$

 $(b_1 \land b_2, \sigma) \downarrow t_3$

where t_3 is **true** if t_1 and t_2 are **true**, and **false** otherwise

∧ extending large-step semantics (alternative left-first-sequential)

$$< b_1, \sigma > \Downarrow$$
 false $< b_1 \wedge b_2, \sigma > \Downarrow$ false

$$< b_1, \sigma > \Downarrow \mathsf{true} \qquad < b_2, \sigma > \Downarrow \mathsf{false}$$

 $< b_1 \land b_2, \sigma > \Downarrow \mathsf{false}$

$$< b_1, \sigma > \Downarrow$$
 true $< b_2, \sigma > \Downarrow$ true $< b_1 \land b_2, \sigma > \Downarrow$ true

Alternative large-step rule for While

< if b then (c; while b do c) else skip,
$$\sigma > \Downarrow \sigma'$$

< while b do c, $\sigma > \Downarrow \sigma'$

Determinism

For all commands $c \in \mathbf{Com}$ and stores $\sigma, \sigma_1, \sigma_2 \in \mathbf{Store}$, if $< c, \sigma > \Downarrow \sigma_1$ and $< c, \sigma > \Downarrow \sigma_2$ then $\sigma_1 = \sigma_2$.

Proof Sketch for Determinism

By induction on the derivation of $\langle c, \sigma \rangle \Downarrow \sigma_1$. The inductive hypothesis P is

$$P(\langle c, \sigma > \Downarrow \sigma_1) = \forall \sigma_2 \in \mathbf{Store},$$

if $\langle c, \sigma > \Downarrow \sigma_2 \text{ then } \sigma_1 = \sigma_2.$

We have a derivation for $< c, \sigma > \psi \sigma_1$, for some c, σ , and σ_1 . Assume that the inductive hypothesis holds for any subderivation $< c', \sigma' > \psi \sigma''$ used in the derivation of $< c, \sigma > \psi \sigma_1$.

Assume that for some σ_2 we have $< c, \sigma > \Downarrow \sigma_2$. We need to show that $\sigma_1 = \sigma_2$.

Case IF-T (1/2)

$$\operatorname{IF-T} \frac{\vdots \qquad \vdots \\ < b, \sigma > \Downarrow \ \mathsf{true} \qquad < c_1, \sigma > \Downarrow \ \sigma_1}{< \mathsf{if} \ b \ \mathsf{then} \ c_1 \ \mathsf{else} \ c_2, \sigma > \Downarrow \ \sigma_1}$$

and we have $c \equiv \mathbf{if}\ b$ then c_1 else c_2 . The last rule used in the derivation of $< c, \sigma > \Downarrow \sigma_2$ must be either IF-T or IF-F (since these are the only rules that can be used to derive a conclusion of the form $< \mathbf{if}\ b$ then c_1 else $c_2, \sigma > \Downarrow \sigma_2$). But by the determinism of boolean expressions, we must have $< b, \sigma > \Downarrow$ true, and so the derivation of $< c, \sigma > \Downarrow \sigma_2$ must have the following form...

Case IF-T (2/2)

$$\operatorname{IF-T} \frac{\vdots \qquad \vdots \\ < b, \sigma > \Downarrow \ \mathsf{true} \qquad \overline{< c_1, \sigma > \Downarrow \sigma_2} \\ < \mathsf{if} \ \mathit{b} \ \mathsf{then} \ \mathit{c}_1 \ \mathsf{else} \ \mathit{c}_2, \sigma > \Downarrow \sigma_2 \\$$

The result holds by the inductive hypothesis applied \vdots to the derivation $< c_1, \sigma > \Downarrow \sigma_1$.

Case WHILE-T (1/3)

Here we have

$$\begin{array}{c} & \vdots \\ \hline < b, \sigma > \Downarrow \ \mathsf{true} \\ \hline \vdots \\ \hline < c_1, \sigma > \Downarrow \ \sigma' \\ \hline \vdots \\ \hline < c, \sigma' > \Downarrow \ \sigma_1 \\ \hline < \ \mathsf{while} \ b \ \mathsf{do} \ c_1, \sigma > \Downarrow \ \sigma_1 \end{array} ,$$

and we have $c \equiv \text{while } b \text{ do } c_1$. The last rule used in the derivation of $\langle c, \sigma \rangle \Downarrow \sigma_2$ must also be WHILE-T (by the determinism of boolean expressions), and so we have...

Case WHILE-T (2/3)

$$\begin{array}{c} \vdots \\ \hline < b, \sigma > \Downarrow \ \textbf{true} \\ \hline \vdots \\ \hline < c_1, \sigma > \Downarrow \ \sigma'' \\ \hline \vdots \\ \hline < c, \sigma'' > \Downarrow \ \sigma_2 \\ \hline < \ \textbf{while} \ b \ \textbf{do} \ c_1, \sigma > \Downarrow \ \sigma_2 \end{array} \ .$$

By the inductive hypothesis applied to the \vdots derivation $cal{c} < c_1, \sigma > \Downarrow \sigma'$, we have $\sigma' = \sigma'' ...$

Case WHILE-T (3/3)

By another application of the inductive hypothesis,

to the derivation $\overline{\langle c, \sigma' \rangle \Downarrow \sigma_1}$, we have $\sigma_1 = \sigma_2$ and the result holds.

Comment on Case WHILE-T

Even though the command $c \equiv \text{while } b \text{ do } c_1$ appears in the derivation of $< \text{while } b \text{ do } c_1, \sigma > \Downarrow \sigma_1$, we do not run in to problems, as the induction is over the *derivation*, not over the structure of the command.